

## Training & Competence - Would you like to:

Reduce your TC Costs?  
Improve standards and performance?  
Control and manage risk?

**Too good to be true?** Well for many firms the opportunity is very real. Surprisingly, a lot of TC schemes in place today are still paper based or at best supported by a collection of unstructured data and documents in a variety of electronic stores. These support systems are labour intensive, inconsistent and too difficult to analyse to be able to provide meaningful management information or auditable records.

To thrive in today's market, firms must make use of proactive management tools to drive the development of the business and to meet their regulatory responsibilities. TC systems need to store information in an accessible and auditable manner that can be extracted and assessed, to manage the performance of individuals, ensure business standards are met and to reduce risk.

A pro-active business will have already invested in quality people, policies and processes, including a modern TC Scheme. Insight TC implements, distributes and supports those policies and processes, across those people to ensure that they are efficient, consistent and delivering the desired results in a measurable way that can be evidenced to regulatory scrutiny.

Insight TC provides a key platform to enable an organisation to efficiently deploy it's policy, understand it's development opportunities and manage it's regulatory responsibilities. Insight TC includes the following functionality:

-  **Supervision Support** - visibility and management of all supervisory activities and responsibilities. Automated support for 1 to 1s, desk based assessments, span of control and monitoring of adviser activity.
-  **Adviser Risk** - ability to record KPIs and calculate relative adviser risk categories which can drive supervision activity and compliance scrutiny.
-  **Development Tracks** - customer defined and configurable sets of linked tasks and actions that reflect the processes of the business.
-  **TC Records** – individual electronic records for each regulated staff member, detailing compliance history, qualifications, licences, responsibilities and performance records.
-  **CPD Recording** - electronic log of CPD activity, both in and outside of the Insight system, to collate and evidence compliance with internal and external requirements.
-  **Dashboard MI** - appropriate to each user, detailing key performance data and highlighting exceptions and issues.

Insight is more than a simple off-the shelf TC and Testing solution – it is a business support platform, dedicated to delivering customer requirements via customisation and configuration, underpinned by a powerful workflow engine and rules based hierarchical model. Insight has a number of other modules that can be purchased as individual products, or linked to Insight TC as a combined solution to provide a holistic compliance platform.

### Key Features

- Fully RDR ready—support for CPD Planning & Recording and SPS Management
- Web deployed, browser based solution
- Hosting options—fully managed service offering SAAS
- Ability to brand to fully meet customer requirements
- Hierarchical, role and competency based solution that controls access to data and function
- Ability to configure user experience using workflow, task management and notifications to map to business processes
- Fully customisable solution, designed to allow customer self-sufficiency
- Graphical and detailed MI and Business Intelligence dashboards with ability to drill down—sophisticated reporting capability
- Support for eLearning, Testing and Document Management

### Key Benefits

- Monitor the competency and development of your staff
- Help advisers to understand and achieve compliance with your policy and requirements
- Keep accurate records of your TC activity and demonstrate your regulatory compliance
- Support your management in monitoring and enforcement of your policy
- Achieve process efficiencies and cost savings at all levels
- Early notification of non-compliance and risks across the business
- Analysis of key performance data using dynamic dashboards, providing essential management information
- Ensure your organisation can meet and exceed the demands of the regulator, whilst improving your performance